

Attorney Docket No: UCAL-253/02US
98-041-3



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By: _____


Kendrick Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of John D. Baxter, et al.

Serial No.: 09/281,717

Examiner:

Filed: March 30, 1999

Art Unit: 1648

For: METHODS AND COMPOUNDS FOR MODULATING NUCLEAR
RECEPTOR COACTIVATOR BINDING

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

1. Transmitted herewith is:

☒ Information Disclosure Statement

☒ PTO Form 1449

☒ Copies of publications listed on the PTO Form 1449

☐ English translation of foreign language reference(s)

☐ No copies of publications listed on the PTO Form 1449 are being provided as this application claims continuation status to application Serial No. and copies of the listed publications were provided in the parent application.

☐ Copy of foreign examination report

☐ English translation of foreign examination report

2. ☒ This Information Disclosure Statement is filed before the later of:
- ☒ three months from the filing date of this national application;
- ☐ three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- ☐ the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

3. Fees

- ☐ Check in the amount of \$_____ is attached.
- ☐ Please charge Deposit Account No. 03-3117 in the amount of \$_____.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of the following fees associated with this communication to Deposit Account No. 03-3117:
- ☒ Any patent application processing fees under 37 CFR 1.17.

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Respectfully submitted,
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INFORMATION DISCLOSURE STATEMENT

Applicant hereby submits this Information Disclosure Statement in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

☐

No copies enclosed: The publications listed on the enclosed Form PTO 1449 have been previously cited by or submitted to the PTO as recited in the Information Disclosure Statement Transmittal that accompanies this paper. In accordance with the provisions of 37 C.F.R. 1.98(d), no copies of these publications are being provided.

- ☒ Copies enclosed: Copies of the publications listed on the enclosed Form PTO 1449 are being provided with this Information Disclosure Statement.
- ☐ The enclosed publications were cited in a foreign examination report in a related case. A copy of the search report and the cited references are attached hereto.
- ☐ The search report is not in the English language and a translation of the search report is enclosed.
- ☐ At least one of the enclosed publications is not in the English language.
- ☐ English translation(s) are enclosed.
- ☐ Enclosed is an explanation of the relevance of non-English publications for which an English translation is not available.
- ☐ The explanation is in the form of an English language search report.

The subject application is believed patentable over any of the above references.

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Enclosures: 1. Form PTO 1449
2. Copies of references cited on Form PTO-1449